# I. OVERVIEW OF THE FUNDING OPPORTUNITY

**Program Announcement for the Department of Defense** 

**Defense Health Program** 

**Congressionally Directed Medical Research Programs** 

# Bone Marrow Failure Research Program Idea Development Award

**Announcement Type: Initial** 

Funding Opportunity Number: W81XWH-18-BMFRP-IDA

Catalog of Federal Domestic Assistance Number: 12.420 Military Medical Research and Development

### SUBMISSION AND REVIEW DATES AND TIMES

Pre-Application Submission Deadline: 5:00 p.m. Eastern time (ET), July 16, 2018

• Invitation to Submit an Application: August 23, 2018

Application Submission Deadline: 11:59 p.m. ET, November 1, 2018

• End of Application Verification Period: 5:00 p.m. ET, November 6, 2018

Peer Review: December 2018

Programmatic Review: February 2019

This Program Announcement must be read in conjunction with the General Application Instructions, version 20180329. The General Applications Instructions document is available for downloading from the Grants.gov funding opportunity announcement by selecting the "Package" tab, clicking "Preview," and then selecting "Download Instructions."

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# II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY

New for 2018: Application submission by extramural organizations through Grants.gov requires use of the Workspace interface, which separates the application package into individual forms. Applicants must create a Workspace in Grants.gov, complete the required forms, and submit their application Workspace package.

# **II.A. Program Description**

Applications to the Fiscal Year 2018 (FY18) Bone Marrow Failure Research Program (BMFRP) are being solicited for the Defense Health Agency (DHA) J9, Research and Development Directorate, by the U.S. Army Medical Research Acquisition Activity (USAMRAA) using delegated authority provided by United States Code, Title 10, Section 2358 (10 USC 2358). As directed by the Office of the Assistant Secretary of Defense for Health Affairs (OASD[HA]), the DHA manages the Defense Health Program (DHP) Research, Development, Test, and Evaluation (RDT&E) appropriation. The execution management agent for this Program Announcement is the Congressionally Directed Medical Research Programs (CDMRP). The BMFRP was initiated in FY08 to provide support for research of exceptional scientific merit and promote innovative research focused on bone marrow failure (BMF) diseases. Appropriations for the BMFRP from FY08 through FY17 totaled \$32.55 million (M). The FY18 appropriation is \$3M.

The vision of the BMFRP is to understand and cure BMF diseases. Toward that end, the program challenges the scientific community to design innovative research approaches based on sound scientific evidence that will advance the understanding and treatment of inherited and acquired BMF diseases to improve the health of affected Service members, Veterans, and the general public, with the ultimate goals of prevention and cure.

The objective of the FY18 BMFRP is to fund scientifically meritorious research focused on BMF diseases. Investigator-initiated research is encouraged in the areas of congenital or acquired BMF. Studies focused on BMF diseases and their progressions to other malignancies, such as leukemia, are acceptable. *However, research primarily focused on myeloproliferative neoplasms, leukemia, or other malignancies is discouraged.* Stem cell biology studies and translational projects including bone marrow transplantation studies should be clearly related to BMF diseases.

### **II.B.** Award Information

The BMFRP Idea Development Award is intended to support innovative ideas and high-impact approaches based on scientifically sound evidence to move toward the BMFRP vision of understanding and curing BMF diseases. This award mechanism is designed to support new ideas. Proposed research studies should have a high probability of revealing new avenues of investigation. The research project should include a well-formulated, testable hypothesis based on strong scientific rationale and a well-developed and articulated research approach. Personnel on the proposed team should have a strong background in BMF disease research.

The proposed research must be relevant to active duty Service members, Veterans, military beneficiaries, and/or the American public.

This funding opportunity is open to established investigators (EIs) and early career investigators (ECIs). ECIs will be assessed using different criteria for personnel during the review process (refer to Section II.E.1.a., Peer Review). The BMFRP defines the ECI as being within 10 years of completing a terminal degree (doctorate or any medical degree), excluding time spent in medical residency, or during family medical leave. The applicant should clearly delineate how he/she meets these eligibility criteria in the biographical sketch. Time spent as a postdoctoral fellow is not excluded and must be within the 10-year span from the time of terminal degree. Postdoctoral fellows are not eligible to apply as ECIs.

The following are significant features of this award mechanism:

- Research Approach: The scientific rationale and experimental methodology should demonstrate critical understanding and in-depth analysis of BMF diseases. Experimental strategies may be novel or may be based on strong rationale derived from previously published data, presented preliminary data, or literature review. The feasibility of the research design and methods should be well-defined, and a clear plan should be articulated as to how the proposed goals of the project can be achieved. Additionally, resources should be identified and supported through documentation. Identification of potential problems and pitfalls is strongly encouraged, with alternate approaches addressed. A statistical analysis plan of the proposed research should be included, if applicable, as well as a power analysis to support the design and sample size.
- **Preliminary Data:** Preliminary data, such as unpublished results from the laboratory of the Principal Investigator (PI) or collaborators named on the application and/or data from the published literature relevant to BMF diseases and the proposed research project, may be included but are not required. If preliminary data are not included, the proposed research should be based on a strong rationale with sound logical support from published literature.
- **Innovation:** Innovative research may introduce a new paradigm, challenge existing paradigms, look at existing problems from new perspectives, or exhibit other creative qualities. This may include high-risk, potentially high-gain, approaches to BMF disease research, provided that the application demonstrates the potential for significant impact on the field of research and/or patient care and/or quality of life. Research that is only an incremental advance is *not* considered innovative.
- **Impact:** Proposed research projects should address a central critical issue or question in BMF disease research or clinical care. High-impact research will, if successful, significantly advance current methods and concepts for the prevention, detection, diagnosis, and/or treatment of BMF diseases.
- **Translational Potential:** The translational potential of the project should be considered and described. Applications should address how the research will translate findings into prevention strategies and/or a cure for BMF diseases.

- **Personnel:** Personnel are considered a crucial element of the BMFRP Idea Development Award. The application should demonstrate the investigator's expertise in BMF diseases through the PI's background, the research team, or through collaboration. Collaborations should be documented.
  - Established Investigator: An EI applying for the Idea Development Award is defined as an independent investigator at or above the level of Assistant Professor (or equivalent) and 10 years or more from a terminal degree. The EI should have BMF disease-related expertise and background as demonstrated by funding and publication records. The EI should plan research collaborations and dedicate a level of effort appropriate for the successful conduct of the proposed work.
  - Early Career Investigator: An ECI applying for the Idea Development Award should be an independent investigator at the level of Assistant Professor, Instructor, or Assistant Research Professor (or equivalent) and less than 10 years from his/her terminal degree (excluding time spent in medical residency or during family medical leave). This should be clearly articulated by the applicant in the biographical sketch. Postdoctoral fellows are not eligible to apply as ECIs. The ECI's training (postdoctoral or clinical) should demonstrate the ECI's ability to accomplish the proposed work. Institutional commitment beyond financial backing such as, but not limited to, independent laboratory space, dedicated research time, and potential collaborations should be demonstrated. The level of effort dedicated to the proposed work by the ECI should be appropriate for the successful conduct of the research project.

The anticipated direct costs budgeted for the entire period of performance for an FY18 BMFRP Idea Development Award will not exceed \$325,000. Refer to Section II.D.5, Funding Restrictions, for detailed funding information.

The types of awards made under the Program Announcement will be assistance agreements (grants or cooperative agreements). The level of involvement on the part of the Department of Defense (DoD) during project performance is the key factor in determining whether to award a grant or cooperative agreement.

Extramural Organizations: An assistance agreement (grant or cooperative agreement) is appropriate when the Federal Government transfers a "thing of value" to a "state, local government," or "other recipient" to carry out a public purpose of support or stimulation authorized by a law of the United States, instead of acquiring property or service for the direct benefit and use of the U.S. Government. An assistance agreement can take the form of a grant or cooperative agreement. If "no substantial involvement" on the part of the funding agency is anticipated, a grant award will be made (31 USC 6304). Conversely, if substantial involvement on the part of the funding agency is anticipated, a cooperative agreement will be made (31 USC 6305) and the award will identify the specific substantial involvement. Substantial involvement may include collaboration, participation, or intervention in the research to be performed under the award. The award type, along with the start date, will be determined during the negotiation process.

Research Involving Human Anatomical Substances, Human Subjects, or Human Cadavers: All DoD-funded research involving new and ongoing research with human anatomical substances, human subjects, or human cadavers must be reviewed and approved by the U.S. Army Medical Research and Materiel Command (USAMRMC) Office of Research Protections (ORP), Human Research Protection Office (HRPO), prior to research implementation. This administrative review requirement is in addition to the local Institutional Review Board (IRB) or Ethics Committee (EC) review. Local IRB/EC approval at the time of submission is *not* required. The HRPO is mandated to comply with specific laws and requirements governing all research involving human anatomical substances, human subjects, or human cadavers that is supported by the DoD. These laws and requirements will necessitate information in addition to that supplied to the IRB/EC. Allow a minimum of 2 to 3 months for HRPO regulatory review and approval processes. Additional time for regulatory reviews may be needed for clinical studies taking place in international settings. When possible, protocols should be written for research with human subjects and/or human anatomical substances that are specific to the DoD-supported effort outlined in the submitted application. Submission to HRPO of protocols covering more than the scope of work in the DoD-funded award will require HRPO review of the entire protocol (DoD and non-DoD funded). DoD human subjects protection requirements may be applied to non-DoD funded work and necessitate extensive revisions to the protocol. Refer to the General Application Instructions, Appendix 1, and the Human Subject Resource Document available on the electronic Biomedical Research Application Portal (eBRAP) "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) for additional information.

Clinical trials are not allowed. A clinical trial is defined as a prospective accrual of patients (human subjects) in whom an intervention (e.g., device, drug, biologic, surgical procedure, rehabilitative modality, behavioral intervention, or other) is tested for a measurable outcome with respect to safety, effectiveness, and/or efficacy. This outcome represents a direct effect on the subject of that intervention or interaction. The FY18 BMFRP is not offering an award mechanism that will support clinical trials; PIs requesting funding for a clinical trial are encouraged to investigate other funding agencies for support.

Research Involving Animals: All DoD-funded research involving new and ongoing research with animals must be reviewed and approved by the USAMRMC ORP Animal Care and Use Review Office (ACURO), in addition to the local Institutional Animal Care and Use Committee (IACUC) of record. IACUC approval at the time of submission is *not* required. Specific documents relating to the use of animals in the proposed research will be requested **if the application is selected for funding**. The ACURO must review and approve all animal use prior to the start of working with animals, including amendments to ongoing projects. PIs must submit the institutional animal use protocol, IACUC approval of that protocol, and a version of the animal use appendix titled, "Research Involving Animals." *Allow at least 2 to 3 months for ACURO regulatory review and approval processes for animal studies*. Refer to the General Application Instructions, Appendix 1, for additional information.

The CDMRP intends that information, data, and research resources generated under awards funded by this Program Announcement be made available to the research community (which includes both scientific and consumer advocacy communities) and to the public at large. For additional guidance, refer to the General Application Instructions, Appendix 2, Section K.

Awards will be made no later than September 30, 2019. For additional information refer to Section II.F.1, Federal Award Notices.

# **II.C.** Eligibility Information

### **II.C.1.** Eligible Applicants

II.C.1.a. Organization: All organizations, including international organizations, are eligible to apply.

Government Agencies Within the United States: Local, state, and Federal Government agencies are eligible to the extent that applications do not overlap with their fully funded internal programs. Such agencies are required to explain how their applications do not overlap with their internal programs.

As applications for this Program Announcement may be submitted by extramural and intramural organizations, these terms are defined below.

**Extramural Organization:** An eligible non-DoD organization. Examples of extramural organizations include academic institutions, biotechnology companies, foundations, Government, and research institutes.

**Intramural DoD Organization:** A DoD laboratory, DoD military treatment facility, and/or DoD activity embedded within a civilian medical center.

*Note:* Applications from an intramural DoD organization or from an extramural Federal organization may be submitted through a research foundation.

The USAMRAA makes awards to eligible organizations, not to individuals.

### **II.C.1.b.** Principal Investigator

**Established Investigators:** Independent investigators at or above the level of Assistant Professor (or equivalent) and 10 years or more from a terminal degree at the time of the application submission deadline are eligible to apply as EIs.

Early Career Investigators: Independent investigators at the level of Assistant Professor, Instructor, or Assistant Research Professor (or equivalent) and less than 10 years from his/her terminal degree (excluding time spent in medical residency or during family medical leave) at the time of the application submission deadline are eligible to apply as ECIs. Time spent as a postdoctoral fellow is not excluded and must be within the 10-year span from the time of terminal degree. Postdoctoral fellows are not eligible for ECI designation.

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by, or affiliated with, an eligible organization.

The CDMRP encourages all PIs to participate in a digital identifier initiative through Open Researcher and Contributor ID, Inc. (ORCID). Registration for a unique ORCID identifier can be done online at <a href="http://orcid.org/">http://orcid.org/</a>.

### **II.C.2.** Cost Sharing

Cost sharing/matching is not an eligibility requirement.

### II.C.3. Other

Organizations must be able to access **.gov** and **.mil** websites in order to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

There are no limitations on the number of applications for which an investigator may be named as a PI.

For general information on required qualifications for award recipients, refer to the General Application Instructions, Appendix 3.

Refer to <u>Section II.H.2</u>, <u>Administrative Actions</u>, for a list of administrative actions that may be taken if a pre-application or application does not meet the administrative, eligibility, or ethical requirements defined in this Program Announcement.

# **II.D.** Application and Submission Information

Submission of applications that are essentially identical or propose essentially the same research project to different funding opportunities within the same program and fiscal year is prohibited and will result in administrative withdrawal of the duplicative application(s).

**Extramural Submission** is defined as an application submitted by an organization to Grants.gov.

*Intramural DoD Submission* is defined as an application submitted by a DoD organization to eBRAP.

### **II.D.1.** Address to Request Application Package

eBRAP is a multifunctional web-based system that allows PIs to submit their pre-applications electronically through a secure connection, to view and edit the content of their pre-applications and full applications, to receive communications from the CDMRP, and to submit documentation during award negotiations and period of performance.

**Extramural Submissions:** Pre-application content and forms must be accessed and submitted at <a href="mailto:eBRAP.org">eBRAP.org</a>. Full application packages must be accessed and submitted at Grants.gov.

*Intramural DoD Submissions:* Pre-application content and forms and full application packages must be accessed and submitted at eBRAP.org.

Contact information for the CDMRP Help Desk and the Grants.gov Contact Center can be found in Section II.G, Federal Awarding Agency Contacts.

### **II.D.2.** Content and Form of the Application Submission

Submission is a two-step process requiring both *pre-application* and *full application* as indicated below. The submission process should be started early to avoid missing deadlines. There are no grace periods.

**Pre-Application Submission:** All pre-applications for both extramural and intramural organizations must be submitted through eBRAP (<a href="https://eBRAP.org/">https://eBRAP.org/</a>).

**Full Application Submission:** Full applications must be submitted through the online portals as described below.

Submitting Extramural Organizations: Full applications from extramural organizations must be submitted through a Grants.gov Workspace. Applications submitted by extramural organizations (e.g., research foundations) on behalf of intramural DoD or other Federal organizations or investigators will be considered extramural submissions. Applications from extramural organizations, including non-DoD Federal organizations, received through eBRAP will be withdrawn. See definitions in Section II.C.1, Eligible Applicants.

Submitting Intramural DoD Organizations: Intramural DoD organizations may submit full applications to either eBRAP or Grants.gov. Intramural DoD organizations that are unable to submit to Grants.gov should submit through eBRAP. Intramural DoD organizations with the capability to submit through Grants.gov may submit following the instructions for extramural submissions through Grants.gov or may submit to eBRAP.

For Both Extramural and Intramural Applicants: A key feature of eBRAP is the ability of an organization's representatives and PIs to view and modify the full application submissions associated with them. eBRAP will validate full application files against the specific Program Announcement requirements, and discrepancies will be noted in an email to the PI and in the "Full Application Files" tab in eBRAP. It is the applicant's responsibility to review all application components for accuracy as well as ensure proper ordering as specified in this Program Announcement.

The application title, eBRAP log number, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the CDMRP Help Desk at <a href="help@eBRAP.org">help@eBRAP.org</a> or 301-682-5507 prior to the application submission deadline.

# II.D.2.a. Step 1: Pre-Application Submission Content

During the pre-application process, each submission is assigned a unique log number by eBRAP. This unique eBRAP log number is required during the full application submission process.

To begin the pre-application process, first select whether the submitting organization is extramural or intramural, then confirm your selection or cancel. **Incorrect selection of extramural or intramural submission type will delay processing.** 

If an error has been made in the selection of extramural versus intramural and the pre-application submission deadline has passed, the PI or Business Official must contact the CDMRP Help Desk at <a href="help@eBRAP.org">help@eBRAP.org</a> or 301-682-5507 to request a change in designation.

All pre-application components must be submitted by the PI through eBRAP (<a href="https://eBRAP.org/">https://eBRAP.org/</a>). Because the invitation to submit an application is based on the contents of the pre-application, investigators should not change the title or research objectives after the pre-application is submitted.

PIs and organizations identified in the pre-application should be the same as those intended for the subsequent application submission. If any changes are necessary after submission of the pre-application, the PI must contact the CDMRP Help Desk at <a href="help@eBRAP.org">help@eBRAP.org</a> or 301-682-5507.

A change in PI or organization after submission of the pre-application may be allowed after review of a submitted written appeal (contact the CDMRP Help Desk at <a href="help@eBRAP.org">help@eBRAP.org</a> or 301-682-5507) and at the discretion of the USAMRAA Grants Officer.

PIs with an ORCID identifier should enter that information in the appropriate field in the "My Profile" tab in the "Account Information" section of eBRAP.

The pre-application consists of the following components, which are organized in eBRAP by separate tabs (refer to the General Application Instructions, Section II.B, for additional information on pre-application submission):

### • Tab 1 – Application Information

Submission of application information includes assignment of primary and secondary research classification codes, which may be found at <a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>. Note that the codes have recently been revised. Applicants are strongly encouraged to review and confirm the codes prior to making their selection.

### • Tab 2 – Application Contacts

Enter contact information for the PI. Enter the organization's Business Official responsible for sponsored program administration (the "person to be contacted on matters involving this application" in Block 5 of the Grants.gov SF424 (R&R) Form). The Business Official must

be either selected from the eBRAP list or invited in order for the pre-application to be submitted.

Select the performing organization (site at which the PI will perform the proposed work) and the contracting organization (organization submitting on behalf of the PI, which corresponds to Block 5 on the Grants.gov SF424 (R&R) Form), and click on "Add Organizations to this Pre-application." The organization(s) must be either selected from the eBRAP drop-down list or invited in order for the pre-application to be submitted.

It is recommended that PIs identify an Alternate Submitter in the event that assistance with pre-application submission is needed.

### Tab 3 – Collaborators and Key Personnel

Enter the name, organization, and role of all collaborators and key personnel associated with the application.

<u>FY18 BMFRP Programmatic Panel</u> members should not be involved in any pre-application or application. For questions related to panel members and pre-applications or applications, refer to <u>Section II.H.2.c, Withdrawal</u>, or contact the CDMRP Help Desk at <u>help@eBRAP.org</u> or 301-682-5507.

To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in preapplication or application preparation, research, or other duties for submitted pre-applications or applications. For FY18, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (<a href="http://cdmrp.army.mil/about/2tierRevProcess">http://cdmrp.army.mil/about/2tierRevProcess</a>). Pre-applications or applications that include names of personnel from either of these companies will be administratively withdrawn unless plans to manage conflicts of interest (COIs) are provided and deemed appropriate by the Grants Officer. Refer to the General Application Instructions, Appendix 3, for detailed information.

### • Tab 4 – Conflicts of Interest

List all individuals other than collaborators and key personnel who may have a COI in the review of the application (including those with whom the PI has a personal or professional relationship). Refer to the General Application Instructions, Appendix 3, Section C, for further information regarding COIs.

### • Tab 5 – Pre-Application Files

Note: Upload documents as individual PDF files unless otherwise noted. eBRAP will not allow a file to be uploaded if the number of pages exceeds the limit specified below.

o **Preproposal Narrative (two-page limit):** The Preproposal Narrative page limit applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Preproposal Narrative and could confer an

unfair competitive advantage is prohibited and may result in administrative withdrawal of the pre-application.

The Preproposal Narrative should include the following:

- **BMFRP Objective:** Describe how the proposed research adheres to the intent of the FY18 BMFRP program description.
- Research Idea: Clearly articulate the rationale for the project by presenting the ideas and reasoning behind the proposed research. Outline any preliminary data to be included, if applicable. State the hypothesis to be tested and/or the objective to be reached. State the project's specific aims. Clearly articulate how the research addresses the goals of the BMFRP.
- **Innovation:** Describe how the proposed research is innovative and how the research represents more than an incremental advance on published data.
- Impact: Explain the potential impact of the proposed research project and how it
  will, if successful, move the research field toward achieving the BMFRP's vision to
  understand and cure BMF diseases.
- Personnel: Clearly describe the BMF expertise of the PI and research team and how
  this will factor into their ability to successfully complete the proposed research.
  Articulate the eligibility of the PI as an EI or an ECI.
- Pre-Application Supporting Documentation: The items to be included as supporting documentation for the pre-application *must be uploaded as individual files* and are limited to the following:
  - References Cited (one-page limit): List the references cited (including URLs if available) in the Preproposal Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, reference title, and reference source, including volume, chapter, page numbers, and publisher, as appropriate).
  - List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols used in the Preproposal Narrative.
  - Key Personnel Biographical Sketches (five-page limit per individual): All biographical sketches should be uploaded as a single combined file. Biographical sketches should be used to demonstrate background and expertise through education, positions, publications, and previous work accomplished.

# • Tab 6 – Submit Pre-Application

This tab must be completed for the pre-application to be accepted and processed.

### **Pre-Application Screening**

# • Pre-Application Screening Criteria

To determine the technical merits of the pre-application and the relevance to the mission of the DHP and the BMFRP, pre-applications will be screened based on the following criteria:

- Adherence to the intent of the award mechanism
- Research Idea: How well the proposed project addresses a critical problem or question in BMF diseases. How well the proposed research focuses on the FY18 BMFRP objective. Whether the preliminary data included support the research idea, if applicable.
- Innovation: How well the research proposes new paradigms, challenges existing
  paradigms, looks at existing problems from new perspectives, or exhibits other creative
  qualities.
- o **Impact:** To what degree the proposed research, if successful, will make an important contribution that significantly advances current methods and concepts toward the BMFRP vision of understanding and curing BMF diseases.

#### Personnel:

- Whether the PI meets the eligibility requirements as an EI or as an ECI.
- To what degree the PI and research team's backgrounds and BMF disease-related expertise are appropriate to successfully carry out the proposed research project.

### • Notification of Pre-Application Screening Results

Following the pre-application screening, PIs will be notified as to whether or not they are invited to submit applications; however, they will not receive feedback (e.g., a critique of strengths and weaknesses) on their pre-application. The estimated timeframe for notification of invitation to submit an application is indicated in <a href="Section I, Overview of the Funding Opportunity">Section I, Overview of the Funding Opportunity</a>. Invitations to submit a full application are based on the Pre-Application Screening Criteria listed above.

### II.D.2.b. Step 2: Full Application Submission Content

Applications will not be accepted unless the PI has received notification of invitation.

The CDMRP cannot make allowances/exceptions to its policies for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.

Each application submission must include the completed full application package for this Program Announcement. The full application package is submitted by the Authorized Organizational Representative through Grants.gov (<a href="http://www.grants.gov/">http://www.grants.gov/</a>) for extramural

organizations or through eBRAP (<a href="https://ebrap.org/">https://ebrap.org/</a>) for intramural organizations. See Table 1 below for more specific guidelines.

### **II.D.2.b.i.** Full Application Guidelines

Extramural organizations must submit full applications through Grants.gov. Applicants must create a Grants.gov Workspace for submission, which allows the application components to be completed online and routed through the applicant organization for review prior to submission. Applicants may choose to download and save individual PDF forms rather than filling out webforms in the Workspace. A compatible version of Adobe Reader **must** be used to view, complete, and submit an application package consisting of PDF forms. If more than one person is entering text into an application package, the *same version* of Adobe Reader software should be used by each person. Check the version number of the Adobe software on each user's computer to make sure the versions match. Using different versions of Adobe Reader may cause submission and/or save errors – even if each version is individually compatible with Grants.gov. Refer to the General Application Instructions, Section III, and the "Apply For Grants" page of Grants.gov (<a href="https://www.grants.gov/web/grants/applicants/apply-for-grants.html">https://www.grants.gov/web/grants/applicants/apply-for-grants.html</a>) for further information about the Grants.gov Workspace submission process. Submissions of extramural applications through eBRAP may be withdrawn.

**Table 1. Full Application Submission Guidelines** 

Extramural Submissions	Intramural DoD Submissions				
Application Package Location					
Download application package components for W81XWH-18-BMFRP-IDA from Grants.gov ( <a href="http://www.grants.gov">http://www.grants.gov</a> ) and create a Grants.gov Workspace. The Workspace allows online completion of the application components and routing of the application package through the applicant organization for review prior to submission.	Download application package components for W81XWH-18-BMFRP-IDA from eBRAP (https://ebrap.org).				
Full Application Package Components					
SF424 (R&R) Application for Federal Assistance Form: Refer to the General Application Instructions, Section III.A.1, for detailed information.	Tab 1 – Summary: Provide a summary of the application information.  Tab 2 – Application Contacts: This tab will be pre-populated by eBRAP; add Authorized Organizational Representative.				

### **Extramural Submissions**

Descriptions of each required file can be found under Full Application Submission Components:

- Attachments
- Research & Related Personal Data
- Research & Related Senior/Key Person Profile (Expanded)
- Research & Related Budget
- Project/Performance Site Location(s) Form
- <u>R&R Subaward Budget Attachment(s) Form</u> (if applicable)

### **Intramural DoD Submissions**

**Tab 3 – Full Application Files:** Upload files under each Application Component in eBRAP. Descriptions of each required file can be found under Full Application Submission Components:

- Attachments
- Key Personnel
- Budget
- Performance Sites

**Tab 4 – Application and Budget Data:** Review and edit proposed project start date, proposed end date, and budget data pre-populated from the Budget Form.

### **Application Package Submission**

### Create a Grants.gov Workspace.

Add participants (investigators and Business Officials) to the Workspace, complete all required forms, and check for errors before submission. **Submit a Grants.gov Workspace Package.** An application may be submitted through Workspace by clicking the "Sign and Submit" button on the "Manage Workspace" page, under

the "Forms" tab. Grants.gov recommends submission of the application package at least 24-48 hours prior to the close date to allow time to correct any potential technical issues that may disrupt the application submission.

Note: If either the Project Narrative or the budget fails eBRAP validation or if the Project Narrative or the budget needs to be modified, an updated Grants.gov application package must be submitted via Grants.gov as a "Changed/Corrected Application" with the previous Grants.gov Tracking ID prior to the application submission deadline.

# Submit package components to eBRAP (https://ebrap.org).

Tab 5 – Submit/Request Approval Full Application: After all components are uploaded and prior to the full application submission deadline, enter your password in the space provided next to "Enter Your Password Here" and press the "Submit Full Application" button. eBRAP will notify your Resource Manager/ Comptroller/Task Area Manager or equivalent Business Official by email.

### **Extramural Submissions**

### **Intramural DoD Submissions**

# **Application Verification Period**

The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, with the exception of the **Project Narrative and Budget Form**, may be modified.

After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/ Task Area Manager or equivalent Business Official and PI will receive email notification of this status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. Your Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve prior to the application verification deadline.

### **Further Information**

# Tracking a Grants.gov Workspace Package.

After successfully submitting a Workspace package, a Grants.gov Tracking Number is automatically assigned to the package. The number will be listed on the "Confirmation" page that is generated after submission. Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.

Refer to the General Application Instructions, Section IV, for further information regarding eBRAP requirements.

Application viewing, modification, and verification in eBRAP are strongly recommended, but not required. *The Project Narrative and Budget cannot be changed after the application submission deadline.* Prior to the full application deadline, a corrected or modified full application package may be submitted. Other application components may be changed until the end of the application verification period. Verify that subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period. After the end of the application verification period, the full application cannot be modified.

Material submitted after the end of the application verification period, unless specifically requested by the Government, will not be forwarded for processing.

The full application package must be submitted using the unique eBRAP log number to avoid delays in application processing.

# II.D.2.b.ii. Full Application Submission Components

Extramural Applications Only

**SF424** (**R&R**) **Application for Federal Assistance Form:** Refer to the General Application Instructions, Section III.A.1, for detailed information.

# Extramural and Intramural Applications

### **Attachments Form:**

Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 4.

For all attachments, ensure that the file names are consistent with the guidance. Attachments will be rejected if the file names are longer than 50 characters or have incorrect file names that contain characters other than the following: A-Z, a-z, 0-9, underscore, hyphen, space, and period. In addition, there are file size limits that may apply in some circumstances. Individual attachments may not exceed 20 MB, and the file size for the entire full application package may not exceed 200 MB.

• Attachment 1: Project Narrative (eight-page limit): Upload as "ProjectNarrative.pdf." The page limit of the Project Narrative applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application.

Describe the proposed project in detail using the outline below.

- Background: Present the ideas and reasoning behind the proposed research. Describe previous experience most pertinent to the application. Preliminary data such as unpublished results from the laboratory of the PI or collaborators named on the application and/or data from the published literature relevant to the proposed research project may be included but are not required. If preliminary data are not included, the research should be based on sound rationale with logical support from published literature.
- Hypothesis or Objective: State the hypothesis to be tested or the objective to be reached.
- **Specific Aims:** Concisely explain the project's specific aims. If this research project is part of a larger study, present only the tasks that this award would fund.
- Research Strategy: Describe the experimental design, methods, and analyses in sufficient detail for evaluation including availability of resources (if applicable).
   Address potential problem areas and present alternative methods and approaches. If

applicable, describe the statistical analysis plan with appropriate power analysis and explain how it supports the sample size. Describe how data will be reported and how it will be assured that the documentation will support a regulatory filing with the U.S. Food and Drug Administration (FDA), if applicable. Research projects may include preclinical studies in animal models, or human subjects and human anatomical substances. If human subjects or human anatomical samples will be used, include a plan for the recruitment of subjects or the acquisition of samples and document the experience of the PI and/or key collaborators in recruiting human subjects for similar projects. *This award may not be used to conduct clinical trials*.

- Note: Innovation, Impact, and Translation Potential should not be addressed in the Project Narrative (Attachment 1) but instead should be articulated in Attachments 6, 7, and 8, respectively (see below).
- Attachment 2: Supporting Documentation: Combine and upload as a single file named "Support.pdf." Start each document on a new page. If documents are scanned to PDF, the lowest resolution (100 to 150 dpi) should be used. The Supporting Documentation attachment should not include additional information such as figures, tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative.

There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested or viewed as an extension of the Project Narrative will result in the removal of those items or may result in administrative withdrawal of the application.

- References Cited: List the references cited (including URLs, if available) in the Project Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate).
- List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
- Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate whether or not Government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present Government award under which the facilities or equipment items are now accountable. There is no form for this information.
- Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If publications are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.

- Letters of Organizational Support: Provide a letter (or letters, if applicable), signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of support not requested in the Program Announcement, such as those from members of Congress, do not impact application review or funding decisions.
- Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual or organization that will demonstrate that the PI has the support or resources necessary for the proposed work. If an investigator at an intramural organization is named as a collaborator on an application submitted through an extramural organization, the application must include a letter from the collaborator's Commander or Commanding Officer at the intramural organization that authorizes the collaborator's involvement.
- Intellectual Property: Information can be found in Code of Federal Regulations,
   Title 2, Part 200.315 (2 CFR 200.315), "Intangible Property."
  - Intellectual and Material Property Plan (if applicable): Provide a plan for resolving intellectual and material property issues among participating organizations.
  - Commercialization Strategy (if applicable): Describe the commercialization plan. The plan should include intellectual property, market size, financial analysis, strengths and weaknesses, barriers to the market, competitors, and management team. Discuss the significance of this development effort, when it can be anticipated, and the potential commercial use for the technology being developed.
- Data and Research Resources Sharing Plan: Describe how data and resources generated during the performance of the project will be shared with the research community. Refer to the General Application Instructions, Appendix 2, Section K, for more information about the CDMRP expectations for making data and research resources publicly available.
- Attachment 3: Technical Abstract (one-page limit): Upload as "TechAbs.pdf." The technical abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. *Do not include proprietary or confidential information*. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

The technical abstract should address the following elements:

- Background: Present the ideas and reasoning behind the proposed research.
- Hypothesis/Objective: State the hypothesis to be tested/objective to be reached.
- Specific Aims: State the specific aims of the study.
- Study Design: Briefly describe the study design, including the appropriate controls.

- Innovation: Briefly describe the novelty or paradigm shift proposed in the project and how it will yield critical discoveries, new avenues of investigation, or major advancements to prevent or cure BMF diseases.
- Impact: Summarize how the proposed project is relevant to and will have an impact
  on those affected by BMF and/or the understanding of BMF diseases. Identify the
  specific BMF disease that will be particularly impacted by the research.
- Attachment 4: Lay Abstract (one-page limit): Upload as "LayAbs.pdf." The lay abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. *Do not include proprietary or confidential information*. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Describe the proposed research project by including the following elements in plain language:

- Background and hypothesis or objectives.
- The critical problem or question to be addressed by the proposed research project.
- Identify the specific BMF disease to be researched or the overarching problem to be addressed.
- Innovative aspects of the proposed research project.
- The impact that the proposed research project results might have on the field of BMF disease research and/or patient care and/or quality of life in the short and/or long term.
- Attachment 5: Statement of Work (SOW) (three-page limit): Upload as "SOW.pdf." The suggested SOW format and examples specific to different types of research projects are available on the eBRAP "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>). For the Idea Development Award mechanism, use the SOW format example titled, "Generic Format." The SOW must be in PDF format prior to attaching.

The SOW should include a list of major tasks that support the proposed specific aims, followed by a series of subtasks outlined related to the major tasks and milestones within the period of performance. The SOW should describe only the work for which funding is being requested by this application and, as applicable, should also:

- Include the name(s) of the key personnel and contact information for each study site/ subaward site.
- Indicate the number (and type, if applicable) of research subjects (animal or human) and/or human anatomical samples projected or required for each task and at each site.

Refer to the General Application Instructions, Appendix 1, for additional information regarding regulatory requirements.

- For studies with prospective accrual of human subjects, indicate quarterly enrollment targets.
- Identify cell line(s) and commercial or organizational source(s) to be used.
- If applicable, indicate timelines required for regulatory approvals relevant to human subjects research (e.g., Investigational New Drug and Investigational Device Exemption applications) by the FDA or other Government agency.

# o Attachment 6: Innovation Statement (one-page limit): Upload as "Innovation.pdf."

- Summarize how the proposed work is innovative.
- Describe how the proposed research project introduces a new paradigm, challenges existing paradigms, or looks at existing problems or issues from a new perspective.
- Describe how the research represents more than an incremental advance on published data or current work in the applicant's laboratory.
- If the proposed research project is high-risk, explain the potential gain from accomplishing the work and finding the outcomes.

# o Attachment 7: Impact Statement (one-page limit): Upload as "Impact.pdf."

- Describe why the proposed research project is important to understanding the causes and progression of BMF diseases and/or to realizing improvements in patient care and/or quality of life.
- Describe the short-term impact: Detail the anticipated outcome(s)/product(s)
   (intellectual and/or tangible) that will directly result from the proposed research.
- Describe the long-term impact: Explain the potential long-term impact of this study on the field of BMF disease research and/or patient care.

### • Attachment 8: Translation Potential (one-page limit): Upload as "Translation.pdf."

- Describe how the project is expected to translate promising research findings into prevention strategies and/or a cure for BMF diseases.
- Include a description of the next steps in the translation of the results of this research after the end of the project.
- Include a brief description of any collaborations with clinicians or physician-scientists for the proposed study. Describe how these relationship(s) will be leveraged to ensure potential translation of study findings in the future.

- Attachment 9: Early Career Investigator Eligibility Statement, if applicable (one-page limit): Upload as "ECIeligibility.pdf." Use the Early Career Investigator Eligibility Statement template (available for download on the Full Announcement page in Grants.gov) signed by the Department Chair, Dean, or equivalent official to verify that the eligibility requirements will be met. For more eligibility details, refer to Section II.B, Award Information, and Section II.C, Eligibility Information.
- Attachment 10: Representations, if applicable (extramural submissions only): Upload as "MandatoryReps.pdf." All extramural applicants must complete and submit the Required Representations template available on eBRAP (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>). For more information, see the General Application Instructions, Appendix 5, Section B, Representations.
- Attachment 11: DoD Military Budget Form(s), if applicable: Upload as "MFBudget.pdf." If a military facility (Military Health System facility, research laboratory, medical treatment facility, dental treatment facility, or a DoD activity embedded with a civilian medical center) will be a collaborator in performance of the project, complete the DoD Military Budget Form, available for download on the eBRAP "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm), including a budget justification, for each military facility as instructed. The costs per year should be included on the Grants.gov Research and Related Budget form under subaward costs. Refer to the General Application Instructions, Section III.A.7, for detailed information.

# • Extramural and Intramural Applications

To evaluate compliance with Title IX of the Education Amendments of 1972 (20 USC A§1681 et seq.), the DoD is collecting certain demographic and career information to be able to assess the success rates of women who are proposed for key roles in applications in science, technology, engineering, or mathematics disciplines. To enable this assessment, each application must include the following forms completed as indicated.

**Research & Related Personal Data:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

**Research & Related Senior/Key Person Profile (Expanded):** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

o PI Biographical Sketch (five-page limit): Upload as "Biosketch\_LastName.pdf." The suggested biographical sketch format is available on the "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>) in eBRAP. The National Institutes of Health Biographical Sketch may also be used. All biographical sketches should be submitted in the PDF format that is not editable.

- PI Previous/Current/Pending Support (no page limit): Upload as "Support LastName.pdf."
- Key Personnel Biographical Sketches (five-page limit each): Upload as "Biosketch LastName.pdf."
- Key Personnel Previous/Current/Pending Support (no page limit): Upload as "Support LastName.pdf."

**Research & Related Budget:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.3, for detailed information.

**Budget Justification (no page limit):** Upload as "BudgetJustification.pdf." The budget justification for the entire period of performance must be uploaded to the Research & Related Budget after completion of the budget for Period 1.

**Project/Performance Site Location(s) Form:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.5, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.4, for detailed information.

### Extramural Applications Only

**R&R Subaward Budget Attachment(s) Form (if applicable):** Refer to the General Application Instructions, Section III.A.6, for detailed information.

• Extramural Subaward: Complete the Research & Related Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.6, for detailed information.) Verify subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

**Intramural DoD Collaborator(s):** Complete the DoD Military Budget Form and upload to Grants.gov attachment form as Attachment 11. (Refer to the General Application Instructions, Section III.A.7, for detailed information.) Intramural DoD Collaborator(s) costs per year should be included on the Grants.gov Research and Related Budget form under subaward costs.

# II.D.3. Dun and Bradstreet Data Universal Numbering System (DUNS) Number and System for Award Management (SAM)

Applicant organizations and all subrecipient organizations must have a DUNS number to submit applications to Grants.gov. The applicant organization must also be registered in the Entity Management functional area of the SAM with an "Active" status to submit applications through the Grants.gov portal. Verify the status of the applicant's organization's Entity registration in SAM well in advance of the application submission deadline. Allow 3 to 4 weeks to complete

the entire SAM registration process. If an applicant has not fully complied with the requirements at the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.

### **II.D.4. Submission Dates and Times**

All submission dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>. Pre-application and application submissions are required. The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

### **Applicant Verification of Full Application Submission in eBRAP**

Following retrieval and processing of the full application, eBRAP will notify the organizational representatives and PI by email to log into eBRAP to review, modify, and verify the full application submission. eBRAP will validate retrieved files against the specific Program Announcement requirements and discrepancies will be noted in both the email and in the "Full Application Files" tab in eBRAP. eBRAP does not confirm the accuracy of file content. It is the applicant's responsibility to review all application components and ensure proper ordering as specified in the Program Announcement. *If either the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be submitted prior to the application submission deadline*. The Project Narrative and Budget Form cannot be changed after the application submission deadline.

*Extramural Submission:* The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, *with the exception of the Project Narrative and Budget Form*, may be modified.

Intramural DoD Submission: After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PI will receive email notification of the status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. The Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve prior to the application verification deadline.

**For All Submissions:** Verify that subaward budget(s) with budget justification are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

### **II.D.5.** Funding Restrictions

The maximum period of performance is 2 years.

The anticipated direct costs budgeted for the entire period of performance will not exceed \$325,000. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. No budget will be approved by the Government exceeding \$325,000 direct costs or using an indirect cost rate exceeding the organization's negotiated rate.

All direct and indirect costs of any subaward or contract must be included in the total direct costs of the primary award.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 2 years.

For this award mechanism, direct costs may be requested for (not all-inclusive):

- Salary
- Research supplies
- Support for multidisciplinary collaborations, including travel
- Travel costs for up to two investigators to travel to two scientific/technical meetings per year to present project outcomes or disseminate project results from the BMFRP Idea Development Award

Must not be requested for:

Clinical trial costs

Awards made to extramural organizations will consist solely of assistance agreements (grants and cooperative agreements). For extramural awards with an intragovernmental component, direct transfer of funds from an extramural award recipient to a DoD or other Federal agency is not allowed except under very limited circumstances. Funding to intramural DoD and other Federal agencies will be managed through a direct fund transfer. Intramural applicants are responsible for coordinating through their agency's procedures the use of contractual or assistance funding awards or other appropriate agreements to support extramural collaborators.

Refer to the General Application Instructions, Section III.A.4, for budget regulations and instructions for the Research & Related Budget. For Federal agencies or organizations collaborating with Federal agencies, budget restrictions apply as are noted in the General Application Instructions, Section III.A.4.

The CDMRP expects to allot approximately \$2.6M of the \$3M FY18 BMFRP appropriation to fund approximately five Idea Development Award applications, depending on the quality and

number of applications received. Funding of applications received in response to this Program Announcement is contingent upon the availability of Federal funds for this program.

Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. The time is considered when establishing the award's period of performance. It is anticipated that awards made from this funding opportunity will be funded with FY18 funds, which will expire for use on September 30, 2024.

### **II.D.6.** Other Submission Requirements

Refer to the General Application Instructions, Appendix 4, for detailed formatting guidelines.

# **II.E.** Application Review Information

### II.E.1. Criteria

### II.E.1.a. Peer Review

To determine technical merit, all applications will be evaluated according to the following scored criteria, which are of equal importance:

# Research Strategy and Feasibility

- o To what degree the scientific rationale supports the project and its feasibility, as demonstrated by a critical review and analysis of the literature, published data, BMF disease-relevant preliminary data (if applicable), and/or logical reasoning.
- To what degree the proposed research demonstrates a critical understanding and in-depth knowledge of BMF diseases.
- How well the hypotheses or objectives, specific aims, experimental design, methods, and analyses are developed and integrated into the project.
- To what degree the research design and methods can successfully achieve the goals of the proposed project.
- To what extent the application identifies potential problems and pitfalls, and addresses alternative approaches.
- Whether the application includes an appropriate statistical analysis plan with power analysis, if applicable. How well the described statistical analysis plan will evaluate the results and if it is appropriate for the sample size according to the power analysis.
- Whether the application demonstrates the availability of resources such as tissue, data, or human subjects, if applicable.

• If applicable, whether data will be appropriately reported and documented to support a regulatory filing with the FDA.

### Innovation

- How well the research proposes new paradigms or challenges existing paradigms in one or more of the following ways: concept or question, research methods or technologies, adaptations of existing methods or technologies, or other ways.
- o To what degree the potential level of gain for the research community or patient community justifies the risk of the proposed research project.
- o To what extent the proposed research represents more than an incremental advance upon published data, or current research being performed in the applicant's laboratory.

### Impact

- How the research project, if successful, will make an important contribution that significantly advances our understanding of the causes and/or the progression of BMF diseases and/or improves patient care and/or quality of life.
- To what degree the anticipated short-term outcome(s)/product(s) (intellectual and/or tangible) will drive the BMF field forward and support new avenues for research or clinical care.
- How well the anticipated long-term gains from this research will yield relevant results for BMF disease research or patient care.

#### Translation Potential

- How well the project will translate promising research findings into prevention strategies and/or a cure for BMF diseases.
- How well the next steps to be taken to translate study results following the completion of the proposed study are described.
- o To what degree collaborations with clinicians or physician-scientists will be leveraged to ensure potential translation of study findings in the future.

### Personnel

- To what extent the levels of effort are appropriate for successful conduct of the proposed work.
- o For EIs only:
  - To what degree the BMF disease-related expertise and background represented on the research team are appropriate to accomplish the proposed work.

### o For ECIs only:

- Whether the PI's previous training (postdoctoral or clinical) supports the abilities of the ECI to accomplish the proposed work.
- Whether the institution, through its Letter(s) of Organizational Support, has demonstrated commitment (i.e., independent laboratory space, funding, etc.) to establish a career for the ECI in BMF disease research.

In addition, the following unscored criteria will also contribute to the overall evaluation of the application:

## Budget

- Whether the **direct** maximum costs are equal to or less than the allowable direct maximum costs as published in the Program Announcement.
- Whether the budget is appropriate for the proposed research.
- Whether there is significant overlap with existing or pending awards of the PI, as demonstrated by the PI's biographical sketch and Previous/Current/Pending Support document.

#### Environment

- Whether the scientific environment is appropriate for the proposed research.
- Whether the research requirements are supported by the availability of and accessibility to facilities and resources (including collaborative arrangements).
- Whether the quality and extent of institutional support are appropriate for the proposed research.
- o If applicable, to what degree the intellectual and material property plan is appropriate.

# • Application Presentation

• To what extent the writing, clarity, and presentation of the application components influence the review.

### **II.E.1.b.** Programmatic Review

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

- Ratings and evaluations of the peer reviewers
- Relevance to the mission of the DHP and FY18 BMFRP, as evidenced by the following:

- Adherence to the intent of the award mechanism
- Program portfolio composition
- Relative impact and innovation with respect to the FY18 BMFRP objective

### **II.E.2.** Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is peer review of applications against established criteria for determining technical merit. Each application is evaluated for its own merit, independent of other applications. The second tier is a programmatic review that makes recommendations for funding to the Commanding General, USAMRMC, on behalf of the DHA and the OASD(HA), based on technical merit, the relevance to the mission of the DHP and BMFRP, the specific intent of the award mechanism, and to other specified evaluation criteria in the Program Announcement. Programmatic review is a comparison-based process in which applications with scientific and technical merit compete in a common pool. *The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in Section II.E.1.b, Programmatic Review.* Additional information about the two-tier process used by the CDMRP can be found at <a href="http://cdmrp.army.mil/about/fundingprocess">http://cdmrp.army.mil/about/fundingprocess</a>.

All CDMRP review processes are conducted confidentially to maintain the integrity of the merit-based selection process. Panel members sign a statement that application and evaluation information will not be disclosed outside the panel. Violations of confidentiality can result in the dissolving of a panel(s) and other corrective actions. In addition, personnel at the applicant or collaborating organizations are prohibited from contacting persons involved in the review and approval process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization's application. Violations by panel members or applicants that compromise the confidentiality of the review and approval process may also result in suspension or debarment from Federal awards. Furthermore, the unauthorized disclosure of confidential information of one party to another third party is a crime in accordance with 18 USC 1905.

### **II.E.3.** Integrity and Performance Information

Prior to making an assistance agreement award where the Federal share is expected to exceed the simplified acquisition threshold (currently \$150,000) over the period of performance, the Federal awarding agency is required to review and consider any information about the applicant that is available in the Federal Awardee Performance and Integrity Information System (FAPIIS).

An applicant organization may review FAPIIS, accessible through SAM, and submit comments to FAPIIS on any information about the organization that a Federal awarding agency previously entered and is currently available in FAPIIS.

The Federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when

determining a recipient's qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGAR), Section 22.415.

### **II.E.4.** Anticipated Announcement and Federal Award Dates

All application review dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>.

Each PI and organization will receive email notification of posting of the funding recommendation in eBRAP. Each PI will receive a peer review summary statement on the strengths and weaknesses of the application.

### II.F. Federal Award Administration Information

### **II.F.1. Federal Award Notices**

Awards will be made no later than September 30, 2019. Refer to the General Application Instructions, Appendix 2, for additional award administration information.

After email notification of application review results through eBRAP, and if selected for funding, a representative from the USAMRAA will contact the business official authorized to negotiate on behalf of the PI's organization.

Only an appointed USAMRAA Grants Officer may obligate the Government to the expenditure of funds. No commitment on the part of the Government should be inferred from discussions with any other individual. The award document signed by the Grants Officer is the official authorizing document.

Federal Organizations: Awards to Federal Government organizations (to include intramural DoD organizations) will be executed through the Military Interdepartmental Purchase Request (MIPR) or Funding Authorization Document (FAD) process. Transfer of funds is contingent upon appropriate safety and administrative approvals. Intramural applicants and collaborators are reminded to coordinate receipt and commitment of funds through their respective Resource Manager/Task Area Manager/Comptroller or equivalent Business Official.

After email notification of application review results through eBRAP, and if selected for funding, a representative from the CDMRP will contact the business official authorized to negotiate on behalf of the PI's organization.

### **II.F.1.a.** PI Changes and Award Transfers

Changes in PI are not allowed, except under extenuating circumstances that will be evaluated on a case-by-case basis and at the discretion of the Grants Officer. An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 2, Section B, for general information on organization or PI changes.

# II.F.2. Administrative and National Policy Requirements

Applicable requirements in the DoDGAR found in 32 CFR, Chapter 1, Subchapter C, and 2 CFR, Chapter XI, apply to grants and cooperative agreements resulting from this Program Announcement.

Refer to the General Application Instructions, Appendix 2, for general information regarding administrative requirements.

Refer to the General Application Instructions, Appendix 5, for general information regarding national policy requirements.

Refer to full text of the <u>USAMRAA General Research Terms and Conditions with Institutions of Higher Education</u>, <u>Hospitals</u>, <u>and Non-Profit Organizations</u>: Addendum to the DoD R&D Terms and Conditions and the <u>USAMRAA General Research Terms and Conditions with For-Profit Organizations</u> for further information.

### II.F.3. Reporting

Refer to the General Application Instructions, Appendix 2, Section A, for general information on reporting requirements. If there are technical reporting requirement delinquencies for any existing USAMRAA-sponsored awards at the applicant organization, no new awards will be issued to the applicant organization until all delinquent reports have been submitted.

Annual progress reports as well as a final progress report will be required.

In addition to written progress reports, Annual Award Charts will be required. For the Idea Development Award mechanism, use the format example titled, "Award Charts," available on the eBRAP "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>).

Award Expiration Transition Plan: An Award Expiration Transition Plan must be submitted with the final progress report. Use the one-page template titled, "Award Expiration Transition Plan," available on the eBRAP "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>) under the "Progress Report Formats" section. The Award Expiration Transition Plan must outline if and how the research supported by this award will progress and must include source(s) of funding, either known or pending.

Awards resulting from this Program Announcement will incorporate additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have Federal contract, grant, and cooperative agreement awards with a cumulative total value greater than \$10,000,000 are required to provide information to FAPIIS about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a Federal award. Recipients are required to disclose, semiannually, information about criminal, civil, and administrative

proceedings as specified in the applicable Terms and Conditions (see General Application Instructions, Section III.A.4).

# **II.G. Federal Awarding Agency Contacts**

### **II.G.1. CDMRP Help Desk**

Questions related to Program Announcement content or submission requirements as well as questions related to the pre-application or intramural application submission through eBRAP should be directed to the CDMRP Help Desk, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. ET. Response times may vary depending upon the volume of inquiries.

Phone: 301-682-5507

Email: <a href="mailto:help@eBRAP.org">help@eBRAP.org</a>

### II.G.2. Grants.gov Contact Center

Questions related to extramural application submission through Grants.gov portal should be directed to the Grants.gov Contact Center, which is available 24 hours a day, 7 days a week (closed on U.S. Federal holidays). Note that the CDMRP Help Desk is unable to provide technical assistance with Grants.gov submission.

Phone: 800-518-4726; International 1-606-545-5035

Email: <a href="mailto:support@grants.gov">support@grants.gov</a>

Sign up on Grants.gov for "send me change notification emails" by following the link on the "Synopsis" page for the Program Announcement or by responding to the prompt provided by Grants.gov when first downloading the Grants.gov application package. If the Grants.gov application package is updated or changed, the original version of the application package may not be accepted by Grants.gov.

### **II.H.** Other Information

### **II.H.1. Program Announcement and General Application Instructions Versions**

Questions related to this Program Announcement should refer to the Program name, the Program Announcement name, and the Program Announcement version code 20180329d. The Program Announcement numeric version code will match the General Applications Instructions version code 20180329.

### **II.H.2.** Administrative Actions

After receipt of pre-applications or applications, the following administrative actions may occur:

### II.H.2.a. Rejection

The following will result in administrative rejection of the pre-application:

- Preproposal Narrative exceeds page limit.
- Preproposal Narrative is missing.

The following will result in administrative rejection of the application:

- Submission of an application for which a letter of invitation was not received.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.

### II.H.2.b. Modification

- Pages exceeding the specific limits will be removed prior to review for all documents other than the Preproposal Narrative and Project Narrative.
- Documents not requested will be removed.

### II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the pre-application or application:

- An FY18 BMFRP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation. A list of the FY18 BMFRP Programmatic Panel members can be found at <a href="http://cdmrp.army.mil/bmfrp/panels/panels18">http://cdmrp.army.mil/bmfrp/panels/panels18</a>.
- The application fails to conform to this Program Announcement description to the extent that appropriate review cannot be conducted.
- Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.
- Page size is larger than 8.5 inches x 11.0 inches (approximately 21.59 cm x 27.94 cm).

- To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in the preparation, research or other duties for submitted applications. For FY18, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (<a href="http://cdmrp.army.mil/about/2tierRevProcess">http://cdmrp.army.mil/about/2tierRevProcess</a>). Applications that include names of personnel from either of these companies will be administratively withdrawn unless plans to manage COIs are provided and deemed appropriate by the Grants Officer. Refer to the General Application Instructions, Appendix 3, for detailed information.
- Personnel from applicant or collaborating organizations are found to have contacted persons involved in the review or approval process to gain protected evaluation information or to influence the evaluation process.
- Applications from extramural organizations, including non-DoD Federal agencies, received through eBRAP may be withdrawn.
- Applications submitted by an intramural DoD organization may be withdrawn if the intramural organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to extramural collaborators.
- Submission of the same research project to different funding opportunities within the same program and fiscal year.
- The invited application does not propose the same research project described in the preapplication.
- If a clinical trial is proposed, the application will be withdrawn.
- An application for which the PI does not meet the eligibility criteria will be withdrawn.

### II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.

# II.H.3. Application Submission Checklist

<b>Application Components</b>	Action	Completed
SF424 (R&R) Application for Federal Assistance (Extramural submissions only)	Complete form as instructed.	
Summary (Tab 1) and Application Contacts (Tab 2) (Intramural submissions only)	Complete these tabs as instructed.	
Attachments	Project Narrative: Upload as Attachment 1 with file name "ProjectNarrative.pdf."  Supporting Documentation: Upload as Attachment 2 with file name "Support.pdf."  Technical Abstract: Upload as Attachment 3 with file name "TechAbs.pdf."  Lay Abstract: Upload as Attachment 4 with file name "LayAbs.pdf."  Statement of Work: Upload as Attachment 5 with file name "SOW.pdf."  Innovation Statement: Upload as Attachment 6 with file name "Innovation.pdf."  Impact Statement: Upload as Attachment 7 with file name "Impact.pdf."  Translation Potential: Upload as Attachment 8 with file name "Translation.pdf."  Early Career Investigator Eligibility Statement: Upload as Attachment 9 with file name "ECIeligibility.pdf," if applicable.  Representations (Extramural submissions only): Upload as Attachment 10 with file name "MandatoryReps.pdf," if applicable.  DoD Military Budget Form(s): Upload as Attachment 11 with file name "MFBudget.pdf," if applicable.	
Research & Related Personal Data	Complete form as instructed.	
Research & Related Senior/Key Person Profile (Expanded)	Attach PI Biographical Sketch (Biosketch_LastName.pdf) to the appropriate field.  Attach PI Previous/Current/Pending Support (Support_LastName.pdf) to the appropriate field.	

<b>Application Components</b>	Action	Completed
	Attach Biographical Sketch	
	(Biosketch_LastName.pdf) for each senior/key	
	person to the appropriate field.	
	Attach Previous/Current/Pending	
	(Support_LastName.pdf) for each senior/key	
	person to the appropriate field.	
Research & Related Budget	Complete as instructed. Attach Budget	
(Extramural submissions	Justification (BudgetJustification.pdf) to the	
only)	appropriate field.	
Budget (Intramural	Complete the DoD Military Budget Form and	
submissions only)	justification.	
Project/Performance Site	Complete form as instructed.	
Location(s) Form		
R&R Subaward Budget		
Attachment(s) Form, if	Complete form as instructed.	
applicable		

### APPENDIX 1: ACRONYM LIST

ACURO Animal Care and Use Review Office

BMF Bone Marrow Failure

BMFRP Bone Marrow Failure Research Program

CDMRP Congressionally Directed Medical Research Programs

CFR Code of Federal Regulations

COI Conflict of Interest

DHA Defense Health Agency
DHP Defense Health Program
DoD Department of Defense

DoDGAR Department of Defense Grant and Agreement Regulations

DUNS Data Universal Numbering System

eBRAP Electronic Biomedical Research Application Portal

EC Ethics Committee

ECI Early Career Investigator
EI Established Investigator

ET Eastern Time

FAD Funding Authorization Document

FAPIIS Federal Awardee Performance and Integrity Information System

FY Fiscal Year

HRPO Human Research Protection Office

IACUC Institutional Animal Care and Use Committee

IRB Institutional Review Board

M Million

MIPR Military Interdepartmental Purchase Request

OASD(HA) Office of the Assistant Secretary of Defense for Health Affairs

ORCID Open Researcher and Contributor ID, Inc.

ORP Office of Research Protections

PI Principal Investigator

RDT&E Research, Development, Test, and Evaluation

SAM System for Award Management

SOW Statement of Work

USAMRAA U.S. Army Medical Research Acquisition Activity
USAMRMC U.S. Army Medical Research and Materiel Command

USC United States Code